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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER TCP-003 |
| | | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/564359</div> |
| INTERNATIONAL APPLICATION NO. PCT/JP2004/014765 | INTERNATIONAL FILING DATE September 30, 2004 | PRIORITY DATE CLAIMED October 3, 2003 |
| TITLE OF INVENTION OXYNITRIDE FLUORESCENT MATERIAL AND LIGHT-EMITTING DEVICE | | |
| APPLICANT(S) FOR DO/EO/US Naoto HIROSAKI | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) as indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendment has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11 to 20 below concern document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input checked="" type="checkbox"/> An Application Data Sheet under CFR 1.76 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4) 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4) 20. <input checked="" type="checkbox"/> Other items or information: PCT/RO/101; PCT/IB/308; Front cover of WO 2005/033247 A1; PCT/ISA/210 | | |

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 1.5em; font-weight: bold; text-align: center;">10/564359</div> | INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; text-align: center;">PCT/JP2004/014765</div> | ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; text-align: center;">TCP-003</div> |
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| The following fees are submitted: | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic national fee ... \$ 300.00 | \$ 300.00 | |
| 22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ... \$ 100.00 All other situations ... \$ 200.00 | \$ 200.00 | |
| 23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority ... \$ 100.00 International Search Report prepared and provided to the Office ... \$ 400.00 All other situations ... \$ 500.00 | \$ 500.00 | |
| TOTAL OF 21, 22 and 23 = | \$1,000.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof | | |
| Total Sheets | Extra sheets | Number of each additional 50 or fraction thereof (round up to a whole number) |
| - 100 = | /50 = | x \$250.00 |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | \$ |
| CLAIMS | NUMBER FILED | NUMBER EXTRA |
| Total Claims | 22 - 20 = | 2 |
| Independent Claims | 1 - 3 = | x \$200.00 |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | + \$360.00 |
| TOTAL OF ABOVE CALCULATIONS = | | \$ 1,100.00 |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | \$ 0.00 |
| SUBTOTAL = | | \$ 1,100.00 |
| Processing fee of \$130.00 for furnishing the English translation later than the 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | \$ 0.00 |
| TOTAL NATIONAL FEE = | | \$ 1,100.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | \$ 40.00 |
| TOTAL FEES ENCLOSED = | | \$ 1,140.00 |
| | | Amount to be refunded: |
| | | Amount to be charged |

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 11-0219 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 11-0219. A duplicate copy of this sheet is enclosed.

c. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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| SEND ALL CORRESPONDENCE TO: HAUPTMAN KANESAKA BERNER Patent Agents, LLP 1700 Diagonal Road, Suite 310 Alexandria, VA 22314 (703) 519-9785 | <div style="text-align: center;"> Customer No. <div style="font-size: 1.2em; font-weight: bold;">32628</div> PATENT TRADEMARK OFFICE </div> <div style="text-align: center;"> SIGNATURE Manabu Kanesaka NAME <div style="font-weight: bold;">31,467</div> REGISTRATION NUMBER </div> |
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